



September 16, 2013

**IMM NOTIFICATION TO PJM MEMBERS OF DISCLOSURE
OF CONFIDENTIAL INFORMATION PURSUANT TO
OATT ATTACHMENT M-APPENDIX § I.D**

Monitoring Analytics, LLC, acting in its capacity as the Independent Market Monitor for PJM (Market Monitor), has received the attached request for confidential information from the Public Utility Commission (PUC) of Ohio, in accordance with the procedures defined in Section I.D of Attachment M-Appendix to the PJM Open Access Transmission Tariff (OATT). The Federal Energy Regulatory Commission (FERC) has previously approved the Ohio PUC as an Authorized Commission pursuant to the Authorized Commission Procedures. The Ohio PUC is required to preserve the confidentiality of this data pursuant to the Non-Disclosure Agreement that the Ohio PUC entered into with PJM and the Certification provided to PJM. The Ohio PUC has affirmed that the information will only be shared with Authorized Persons who have executed a confidentiality agreement.

The Market Monitor must receive email notification (MA@monitoringanalytics.com) of any objections from Affected Members by 6 p.m., **Friday, September 20, 2013**. If no objection is received the information will be provided to the Ohio PUC. An objection initiates a process that first requires a conference between the Affected Member and the Ohio PUC. If this conference does not produce a satisfactory result, Affected Members may pursue a "fast track" legal action at the FERC within three days or the requested information will be supplied to the Ohio PUC.

Jeffrey Mayes

From: Choueiki, Hisham <Hisham.Choueiki@puc.state.oh.us>
Sent: Monday, September 16, 2013 12:13 PM
To: Jeffrey Mayes
Cc: Lindgren, Thomas; Joseph Bowring
Subject: RE: Week of September 9, 2013

Importance: High

Good Afternoon Jeff,

Pursuant to Section I.D of the Attachment M–Appendix to the PJM OATT, the staff of the Public Utilities Commission of Ohio (staff) requests information from the PJM Independent Market Monitor in response to the real-time prices that we observed during the week of September 9, 2013.

Specifically, the staff is concerned about the high real-time prices that were observed in the ATSI zone on September 10 and 11, 2013.

Several questions are in order:

- 1) For the week of September 9, 2013 (Monday September 9 through Friday September 13), what was the status of each of the generating resources in the ATSI zone and of the generating resources in the neighboring transmission zones; including the AEP, DEOK, and Dayton zones? For each resource, provide the **unit ID, unit Name, Owner, LDA, transmission zone, ICAP, UCAP** (for the 2013/2014 delivery year), **average MW output** during the hours ending 13:00, 14:00, 15:00, 16:00, 17:00, 18:00, 19:00, 20:00, 21:00, and 22:00. Please highlight each generating resource that was in a forced outage status during these hours and the **reason for the outage**.
- 2) For each unit that offered and cleared in the day-ahead market, please provide its offers (**quantity and price**) for each day and hour listed in question 1.
- 3) For each unit that offered but did not clear in the day-ahead market, please provide its real-time offers that cleared (**quantity and price**) for each day and hour listed in question 1.
- 4) Please provide the real-time offers (**quantity and price**) of each of the demand responders (or curtailment service providers) for each day and hour listed in question 1. Please include the **identity** of the entity supplying the demand response.
- 5) As the Independent Market Monitor of all PJM markets, would you happen to have any market power concerns from what you observed during the week of September 9 in the day-ahead and real-time markets? If the answer is “yes”, please provide a detailed description of your concerns.

As you know, Ohio is a retail choice state and, accordingly, Ohio retail rates are very dependent on the wholesale prices. It is, therefore, essential for staff to have competitive wholesale energy, ancillary services, and capacity markets. This information will assist staff in the performance of its duties in this regard.

The information received in response to questions 1-4 will only be shared with other staff members/commissioners that are on the approved PJM list for the Ohio Commission (i.e., Authorized Persons as defined in OATT Attachment M–

Appendix § I.D). Should you (or others) have any questions on this matter, you may contact me or Tom Lindgren (our Assistant Attorney General).

Thanks,
Hisham

Hisham M. Choueiki, Ph.D., P.E.

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